

601088



2017

.....	3
.....	3
.....	9
.....	18

1.1

1.2

1.3

1.4

2.3

2.1

			(%)
	560,034	571,664	(2.0)
	292,242	312,357	(6.4)
/	14.69	15.70	(6.4)
	1-9	1-9	(%)
	83,814	62,174	34.8
	71,038	71,453	(0.6)
/	4.21	3.13	34.8
/	3.57	3.59	(0.6)

	1-9	1-9	%
	182,535	124,803	46.3
	53,705	30,676	75.1
	35,649	17,307	106.0
	35,028	17,488	100.3
%	10.74	5.72	5.02
/	1.792	0.870	106.0
/	1.792	0.870	106.0
/	1.761	0.879	100.3
%	10.57	5.78	4.79

	7-9	7-9	%
	62,017	46,080	34.6
	17,896	12,256	46.0
	11,334	7,479	51.5
	11,252	7,566	48.7
%	3.96	2.48	1.48
/	0.570	0.376	51.5
/	0.570	0.376	51.5
/	0.566	0.380	48.7
%	3.93	2.51	1.42

2.4

		2017		2016		(%)	
		7-9	1-9	7-9	1-9	7-9	1-9
1.		69.6	221.3	73.6	213.3	(5.4)	3.8
2.		107.8	328.3	101.9	288.2	5.8	13.9
1.		74.61	196.66	63.86	174.87	16.8	12.5
2.		69.76	184.19	59.44	163.34	17.4	12.8
1.		79.9	251.7	84.2	213.8	(5.1)	17.7
2.		67.4	237.8	81.2	206.0	(17.0)	15.4
1.		69.3	205.7	61.4	181.2	12.9	13.5
2.		63.9	195.7	58.0	170.3	10.2	14.9
		45.4	137.1	41.9	118.4	8.4	15.8
		11.5	32.7	9.8	30.2	17.3	8.3
3.		24.1	70.1	20.9	57.2	15.3	22.6
4.		20.4	60.3	17.2	46.2	18.6	30.5

2.5

				204,189		
A				201,931		
H				2,258		
		(%)				
	14,530,574,452	73.06	0			
HKSCC NOMINEES LIMITED	3,390,562,095	17.05	0			
	662,901,417	3.33	0			
	110,027,300	0.55	0			
	38,979,273	0.20	0			
50	15,719,365	0.08	0			
50	13,023,640	0.07	0			
	11,093,927	0.06	0			
	10,423,025	0.05	0			
	10,009,890	0.05	0			

	14,530,574,452		14,530,574,452
HKSCC NOMINEES LIMITED	3,390,562,095		3,390,562,095
	662,901,417		662,901,417
	110,027,300		110,027,300
	38,979,273		38,979,273
50	15,719,365		15,719,365
50	13,023,640		13,023,640
	11,093,927		11,093,927
	10,423,025		10,423,025
	10,009,890		10,009,890
	HKSCC Nominees Limited		
	50		

HKSCC Nominees Limited

H

A

3.1

2017 1-9 182,535 2016
 124,803 46.3% 53,705 2016
 30,676 75.1% 35,649 2016
 17,307 106.0% 2017 9 30 34.4%
 2016 12 31 33.5% 0.9

		2017 1-9	2016 1-9	(%)	
1		182,535	124,803	46.3	
2		106,436	73,457	44.9	
3		7,243	4,537	59.6	
4		13,741	12,391	10.9	
5		2,630	3,952	(33.5)	
6		389	25	1,456.0	1.50 /
7		1,154	201	474.1	
8		162	0		2017 1 1
9		967	1,040	(7.0)	2017 1 1
10		250	631	(60.4)	
11		11,261	7,170	57.1	2017 21.0% 2016 23.4% 2.4

		2017	2016		
		9 30	12 31	(%)	
1		71,530	50,757	40.9	
2		6,359	4,394	44.7	
3		8,477	42,701	(80.1)	
4		5,103	1,800	183.5	
5		41,434	31,627	31.0	
6		1,599	3,593	(55.5)	
7		6,655	4,384	51.8	
8		2,110	3,174	(33.5)	
9		7,875	4,196	87.7	
10		5,484	3,727	47.1	
11		522	705	(26.0)	
12		1,650	2,665	(38.1)	
13		38,901	23,157	68.0	
14		8,506	27,819	(69.4)	
15		63,625	58,462	8.8	
16		11,576	15,316	(24.4)	
17		12,713	9,394	35.3	
18		173,363	196,786	(11.9)	
19		292,242	312,357	(6.4)	

		2017	2016		
		1-9	1-9	(%)	
1		83,814	62,174	34.8	1-9
		12,776	(9,279)	(237.7)	
		71,038	71,453	(0.6)	
2		19,018	(16,377)	(216.1)	
3		(79,756)	(14,853)	437.0	

3.2

1

7-9

	2017 7-9			2016 7-9				
		%	/		%	/	%	%
	105.8	98.1	418	99.2	97.3	304	6.7	37.5
	100.0	92.7	419	97.0	95.1	306	3.1	36.9
1	36.7	34.0	314	39.7	38.9	213	(7.6)	47.4
2	63.3	58.7	479	57.3	56.2	370	10.5	29.5
	5.7	5.3	396	2.2	2.2	244	159.1	62.3
	0.1	0.1	632	0.0	0.0			
	0.6	0.6	500	0.7	0.7	375	(14.3)	33.3
	1.4	1.3	391	2.0	2.0	292	(30.0)	33.9
EMM	0.4	0.4	112	0.5	0.5	148	(20.0)	(24.3)

2017

120.0%

2017

2016

2016

2

		2017	1-9	2016	1-9	%	
		142,886		85,197		67.7	
		100,172		69,295		44.6	
		42,714		15,902		168.6	
	%	29.9		18.7		11.2	

3

/

		2017	1-9	2016	1-9	%	
		106.8		102.0		4.7	
		17.2		18.7		(8.0)	
		17.3		17.1		1.2	
		16.8		17.8		(5.6)	
		55.5		48.4		14.7	

80%

5%

15%

3.3

1

7-9

/							/	
	2017 7-9	2016 7-9	2017 7-9	2016 7-9	2017 7-9	2016 7-9	2017 7-9	2016 7-9
	74.20	63.44	69.40	59.08	1,305	1,174	312	301
	72.48	61.76	67.72	57.44	1,318	1,184	307	297
	0.01	0.00	0.01	0.00	241	321	604	604
	0.22	0.23	0.22	0.23	1,819	1,837	167	197
	1.49	1.45	1.45	1.41	865	836	571	453
	0.41	0.42	0.36	0.36	1,385	1,395	472	460
	0.41	0.42	0.36	0.36	1,385	1,395	472	460
/	74.61	63.86	69.76	59.44	1,305	1,175	313	302
2016 7-9	2,598		2,070					

1-9

/							/	
	2017 1-9	2016 1-9	2017 1-9	2016 1-9	2017 1-9	2016 1-9	2017 1-9	2016 1-9
	195.48	173.62	183.16	162.25	3,437	3,212	308	303
	190.86	168.60	178.66	157.36	3,470	3,231	303	299
	0.02	0.02	0.02	0.02	1,160	1,435	599	597
	0.52	0.51	0.51	0.50	4,181	4,073	213	226
	4.08	4.49	3.97	4.37	2,360	2,594	571	459
	1.18	1.25	1.03	1.09	3,941	4,156	482	443
	1.18	1.25	1.03	1.09	3,941	4,156	482	443
/	196.66	174.87	184.19	163.34	3,440	3,172	309	304

2

	2016 12 31	2017 1-9	2017 9 30
1.	54,417	880	55,297
2.	16	0	16
3.	125	0	125
4.	1,730	0	1,730
	56,288	880	57,168

2017 1-9 880
 220 30 90 100
 660 #3

3

	2017 1-9	2016 1-9	(%)
	58,600	51,108	14.7
	47,755	34,414	38.8
	10,845	16,694	(35.0)
%	18.5	32.7	14.2

2017 1-9 257.4 / 2016
 208.7 / 23.3%

4

2017 10 5 7
 2 1,050 2020 2015
 12 28 H 2015 12 29 A

3.4

1

	2017 1-9	2016 1-9	%
	23,790	21,831	9.0
	4,169	2,646	57.6
	27,959	24,477	14.2

2

	2017 1-9	2016 1-9	%
	3,655	3,269	11.8
	580	459	26.4
	4,235	3,728	13.6

3.5

2017

	GDP	6.9%	0.2	
PPI	6.5%	2016	2.9%	
1-9				
				9
				5500
	586 /	7 /		587 /
1-9		25.9	5.7%	40.9%
				7.1%
		29.4	4.5%	
		2.0	13.7%	
	34,525		6.3%	7-9
				5.4%
	4.6			
	47			

3.6

1

2017 8

2017 8 2 H

2017 8

3 A

2017 1-9 16.3 18.5%
17.6 8.8%

2

2017 8 28

“ ”

15

3

19

3

“ ”

2017 8 28 H

2017 8

29 A

3.7

3.8

2017 1-9

106.0%

2017

2016

2017

80%

3.9

3.10

/

EMM

2017 10 27

4.1

2017 9 30

:

	71,530	50,757
	52	54
	6,359	4,394
	14,553	16,179
	3,527	3,141
	3,283	2,896
	13,198	13,341
	8,477	42,701
	120,979	133,463
	5,103	1,800
	5,277	5,078
	305,807	316,489
	41,434	31,627
	1,599	3,593
	37,268	38,502
	2,588	2,693
	4,297	4,363
	35,682	34,056
	439,055	438,201
	560,034	571,664
	6,655	4,384
	2,110	3,174
	30,072	31,982
	7,875	4,196
	5,484	3,727
	10,086	10,376
	522	705
	1,650	2,665

	38,901	23,157
	8,506	27,819
	111,861	112,185
	63,625	58,462
	11,576	15,316
	2,263	2,383
	2,739	2,617
	761	797
	80,964	79,575
	192,825	191,760
	19,890	19,890
	74,729	74,729
	114	125
	12,713	9,394
	11,433	11,433
	173,363	196,786
	292,242	312,357
	74,967	67,547
	367,209	379,904
	560,034	571,664

2017 9 30

:

	48,289	47,361
	1,382	1,158
	22,362	21,401
	277	203
	4,699	4,956
	6,489	4,749
	3,349	3,591
	36,144	74,874
	122,991	158,293
	4,819	1,647
	126,638	123,346
	35,929	38,555
	3,281	3,371
	65	75
	14,563	14,591
	1,246	1,217
	521	479
	34,554	35,636
	221,616	218,917
	344,607	377,210
	7,000	5,000
	737	160
	5,491	6,091
	81	64
	2,445	1,690
	5,401	5,806
	268	383
	4,073	4,232
	428	20,490
	68,333	53,270
	94,257	97,186
	4,867	3,460
	4,991	4,985

2017 1-9

	7-9	7-9	1-9	1-9
	62,017	46,080	182,535	124,803
	62,017	46,080	182,535	124,803
	44,418	34,104	130,863	94,750
	36,515	26,834	106,436	73,457
	2,373	1,625	7,243	4,537
	139	135	424	388
	4,797	4,337	13,741	12,391
	591	1,153	2,630	3,952
	3	20	389	25
	1	2	0	13
	255	149	1,154	201
	189	113	412	148
	44	0	162	0
	17,899	12,127	52,988	30,267
	100	335	967	1,040
	14	7	474	19
	103	206	250	631
	6	10	10	169
“ ”	17,896	12,256	53,705	30,676
	4,020	2,427	11,261	7,170
“ ”	13,876	9,829	42,444	23,506
	11,334	7,479	35,649	17,307
	2,542	2,350	6,795	6,199
	(67)	87	(38)	235
	(52)	84	(11)	223

	7-9	7-9	1-9	1-9
	0	0	11	(7)
1.	0	0	11	(7)
	(52)	84	(22)	230
1.	0	0	1	15
2.	5	0	14	0
5.	(57)	84	(37)	215
	(15)	3	(27)	12
	13,809	9,916	42,406	23,741
	11,282	7,563	35,638	17,530
	2,527	2,353	6,768	6,211
(/)	0.570	0.376	1.792	0.870
(/)	0.570	0.376	1.792	0.870

2017 1-9

	1-9	1-9
	211,434	161,422
	11,652	0

	1-9	1-9
	17,037	19,062
	(30,989)	(17,717)
	(65,804)	(15,889)
	(2,622)	(5,324)
	0	(309)
	(96,793)	(33,915)
	(79,756)	(14,853)
	(153)	65
	22,923	31,009
	41,188	42,323
	64,111	73,332

	1-9	1-9
	41,648	29,660
	2	17
	2,735	2,579
	44,385	32,256
	(10,030)	(11,334)
	(4,429)	(4,011)
	(13,977)	(5,896)
	(3,697)	(1,062)
	(32,133)	(22,303)
	12,252	9,953
	92,402	40,731
	17,635	49,719
	21	86
	26,100	7,700
	136,158	98,236
	(1,480)	(1,750)
	(58,057)	(35,926)
	(3,899)	(19,636)
	(63,436)	(57,312)
	72,722	40,924
	27,663	25,796
	27,663	25,796
	(29,195)	(41,887)
	(60,299)	(7,813)
	(89,494)	(49,700)
	(61,831)	(23,904)
	(14)	0
	23,129	26,973
	19,276	20,414
	42,405	47,387

4.2