



中国神华  
CHINA SHENHUA

**中国神华能源股份有限公司**  
CHINA SHENHUA ENERGY COMPANY LIMITED

( )

( : 01088)

**2009**

“ ” , “ ” )	13.09
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2009 3 31 3

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1.1

1.2

1.3 2009

2009

(2006)

( “ ” )

3.3

1.4

2

2009 , “ ” , “ ” ,

2009 , 0.399 , 17.4%

51.8 , 26.3% ; 57.0  
22.5% ; 196.5 , 25.9% ; 211.7 , 22.6%

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2009 ,  
5,500 , 2009  
540 / (

17 ,

“ ” , “ ” ,  
“ ” ,

### 3

#### 3.1

	2009			2008			
	3	31	3	3	31	3	
( )							
		0.399			0.340		17.4%
		0.882			0.453		94.6%
( )							
		27,157			23,788		14.2%
		7,936			6,772		17.2%
		17,534			9,010		94.6%
		2009 3			2008 12		
		31			31		
( )		289,211			275,540		5.0%
( )		108,383			103,797		4.4%
( )		180,828			171,743		5.3%
: ( )		155,439			147,432		5.4%
( )		7.81			7.41		5.4%

#### 3.2

	2009	2008	
( )	51.8	44.6	16.1%
( )	57.0	56.7	0.5%
: ( )	3.9	4.6	(15.2%)
( )	33.5	31.7	5.7%
( )	36.7	33.9	8.3%
: ( )	18.6	20.5	(9.3%)
( )	5.8	5.2	11.5%
( )	211.7	235.9	(10.3%)
( )	196.5	220.8	(11.0%)



(2)

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HKSCC NOMINEES LIMITED ( )	3,391,464,720	
— 50	43,811,207	
— 50	31,578,339	
FH002 — — —005L—	24,547,275	
—	23,084,673	
— 300	21,212,838	
—	19,356,803	
—	17,596,846	
—	17,000,000	
—	16,353,990	

: HKSCC Nominees Limited ( ) H

#### 4

#### 4.1

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， ， 27,157 (2008  
 : 23,788 ) ， 14.2% 7,936  
 (2008 : 6,772 ) ， 17.2%

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	2009 3 31 3	2008 3 31 3		
	(1,940)	(1,204)	61.1%	2008
	(603)	(1,310)	(54.0%)	
	128	78	64.1%	
	1,218	870	40.0%	

	2009 3 31 3	2008 3 31 3		
	17,534	9,010	94.6%	
	(81)	(237)	(65.8%)	
	-	(121)	(100.0%)	2008
	-	29	(100.0%)	2008
	(12,729)	(7,059)	80.3%	
	294	50	488.0%	
	(279)	(461)	(39.5%)	

#### 4.2

(1) ( )

	2009			2008			
			/			/	
	53.1	93.2%	375.0	52.1	91.9%	334.9	12.0%
	33.7	59.1%	368.1	40.8	72.0%	354.2	3.9%
	1.2	2.1%	134.7	-	-	-	
( )	12.3	21.6%	269.2	14.8	26.1%	254.7	5.7%
( FOB)	20.2	35.4%	441.8	26.0	45.9%	410.8	7.5%
	19.4	34.1%	387.0	11.3	19.9%	265.2	45.9%
	3.5	6.2%	121.0	5.3	9.3%	102.8	17.7%
( )	4.1	7.2%	399.0	2.7	4.8%	329.5	21.1%
( FOB)	11.8	20.7%	462.7	3.3	5.8%	471.4	(1.8%)
	3.9	6.8%	694.0	4.6	8.1%	461.0	50.5%
/	57.0	100.0%	396.8	56.7	100.0%	345.1	15.0%

396.8 / (2008 : 345.1 / ) , 15.0% : 375.0 / (2008 : 334.9 / ) , 12.0% : 354.2 / ) , 3.9% : 265.2 / ) , 45.9% 2008

461.0 / ) , 50.5% , 694.0 / (2008 :  
 2008 ,  
 4.8% : 9.7% , 11.7% ;  
 20.2%  
 :

(2) :

	2009	2008	
	/	/	
	92.6	74.0	25.1%
	20.9	15.7	33.1%
	11.0	9.2	19.6%
	19.0	17.6	8.0%
	11.5	8.2	40.2%
	30.2	23.3	29.6%

74.0 / ) , 25.1% : 92.6 / (2008 :  
 , ,

2008 ,  
 2.4%  
 2009 ,  
 2008

**4.3**

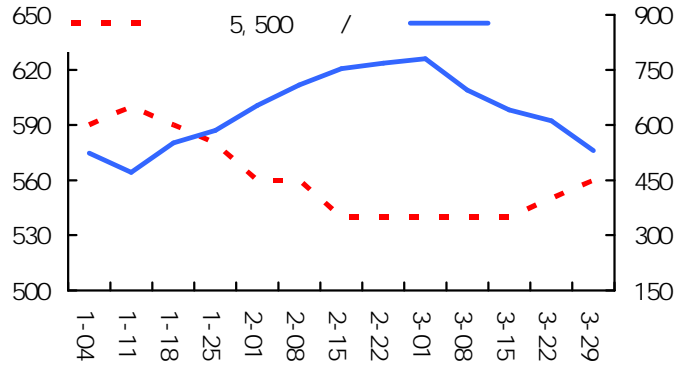
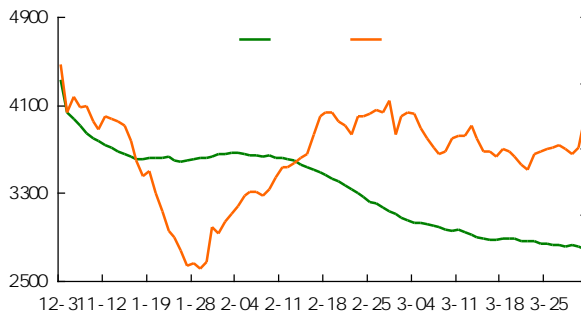
2009 , 6.1% , 0.7  
 6.02 , 6.3% 6.74 , 4.0% ,  
 5.4%

7.4 , 27.6% ,  
6.2 2009 3 ,  
12.7%

13.6 , 20.1% ,  
2.06 , 2009

2009

2009



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4.4

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4.5

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: 2008

4.6

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4.7



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4.8

2008  
2009

( 0.46 ( ) ) ,  
( )

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,  
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2009 1-3

	2009	2008
	27,157	23,788
	(13,991)	(12,262)
	(1,940)	(1,204)
	(89)	(119)
	(16,020)	(13,585)
	11,137	10,203
	337	263
	(603)	(1,310)
	(266)	(1,047)
	2	2
	128	78
	11,001	9,236
	(1,847)	(1,594)
	9,154	7,642
	7,936	6,772
	1,218	870
( ) :		
-	0.399	0.340
-	0.399	0.340

2009 3 31

: : :

	2009 3 31	2008 12 31
	145,204	145,253
	34,295	33,017
	2,501	2,435
	3,073	3,045
	921	831
	6,178	6,373
	5,980	6,011
	666	669
	198,818	197,634
	8,680	7,842
	6,571	8,236
	2,852	2,337
	259	241
	13,342	196
	58,689	59,054
	90,393	77,906
	18,540	18,213
	10,102	9,642
	16,000	12,410
	264	264
	2,514	2,127
	47,420	42,656
	42,973	35,250
	241,791	232,884
	55,801	56,045
	2,778	2,765
	1,906	1,869
	478	462
	60,963	61,141
	180,828	171,743
	19,890	19,890
	135,549	127,542
	155,439	147,432
	25,389	24,311
	180,828	171,743

: : :

2009 1-3

	2009	2008
	17,534	9,010
	(4,717)	(5,274)
	(81)	(237)
	-	(121)
	-	29
	(18)	-
	(13,256)	(22)
	110	-
	(17,962)	(5,625)
	12,777	10,677
	(12,729)	(7,059)
	294	50
	(279)	(461)
	63	3n

2009 1-3

	2009	2008 ( )
	27,157	23,788
:	12,651	11,295
	964	493
	168	202
	2,125	1,499
	83	1,166
	122	117
: ( ) /	(183)	119
	130	81
( : )	129	78
	10,991	9,216
:	12	26
:	28	28
( : )	5	-
	10,975	9,214
:	1,845	1,593
	9,130	7,621
	7,921	6,763
	1,209	858
:		
( ) ( )	0.398	0.340
( ) ( )	0.398	0.340

2009 1-3

	2009	2008 ( )
	22,473	19,186
:	13,438	12,075
	737	277
	163	168
	1,195	685
( ) /	(174)	126
	73	17
: ( ) /	(183)	119
	1,064	211
( : )	44	51
	7,922	6,168
:	4	15
:	8	7
( : )	-	-
	7,918	6,176
:	1,104	932
	6,814	5,244

2009 3 31

: : :

	2009 3 31	2008 12 31
	72,290	59,491
	326	509
	440	711
	6,131	7,525
	632	687
	1,894	1,141
	8,680	7,842
	90,393	77,906
	4,020	3,902
	132,114	132,069
	28,408	27,544
	5,887	5,473
	23,752	23,806
	924	955
	381	379
	6,178	6,373
	201,664	200,501
	292,057	278,407

: : :

( )  
2009 3 31

	2009 3 31	2008 12 31
	14,461	13,119
	1	1
	10,101	9,641
	4,168	2,033
	2,825	2,539
	6,288	5,753
	164	179
	314	143
	4,755	3,890
	4,079	5,094
	264	264
	47,420	42,656
	55,801	56,045
	2,778	2,765
	1,906	1,869
	947	932
	61,432	61,611
	108,852	104,267
	19,890	19,890
	88,169	88,144
	10,677	10,064
	38,291	30,984
	(68)	(115)
	156,959	148,967
	26,246	25,173
	183,205	174,140
	292,057	278,407

: : :



2009 3 31

: :

	2009 3 31	2008 12 31
	67,907	56,551
	326	509
	239	488
	2,601	3,657
	139	344
	327	303
	4,969	5,301
	5,251	4,531
	13,430	12,772
	95,189	84,456
	35,193	34,932
	37,631	38,114
	7,612	6,945
	680	533
	12,342	12,458
	295	298
	5,708	5,714
	99,461	98,994
	194,650	183,450

: :

( )  
2009 3 31

	2009 3 31	2008 12 31
	3,600	4,100
	5,947	5,797
	3,104	1,730
	2,064	1,770
	5,164	4,636
	16	83
	3,654	2,712
	894	941
	264	264
	6,288	4,169
	30,995	26,202
	12,370	12,828
	2,578	2,561
	1,440	1,406
	618	617
	17,006	17,412
	48,001	43,614
	19,890	19,890
	87,621	87,621
	10,677	10,064
	28,461	22,261
	146,649	139,836
	194,650	183,450

: : :

2009 1-3

	2009	2008
	35,011	26,374
	1	7
	470	356
	35,482	26,737
	(9,031)	(11,056)
	(1,786)	(1,564)
	(6,112)	(3,845)
	(539)	(681)
	(17,468)	(17,146)
	18,014	9,591
	1	-
	24	12
	-	29
	110	-
	337	263
	472	304
	(4,798)	(5,511)
	-	(50)
	-	(71)
	(13,256)	-
	(18)	-
	(18,072)	(5,632)
	(17,600)	(5,328)
	294	50
	12,777	10,677
	13,071	10,727
	(12,729)	(7,059)
	(1,121)	(1,339)
:	(279)	(461)
	(13,850)	(8,398)
	(779)	2,329
( ) /	(365)	6,592
:	59,054	53,404
	58,689	59,996

: : :

2009 1-3

	2009	2008
	27,578	20,081
	521	267
	28,099	20,348
	(8,968)	(11,198)
	(813)	(471)
	(4,651)	(1,573)
	(213)	(292)
	(14,645)	(13,534)
	13,454	6,814
	90	-
	970	954
	-	14
	329	255
	1,389	1,223
	(1,364)	(1,776)
	(1,022)	(1,667)
	(13,200)	-
	(18)	-
	(15,604)	(3,443)
	(14,215)	(2,220)
	500	2,085
	500	2,085
	(1,506)	(842)
	(95)	(330)
	(1,601)	(1,172)
	(1,101)	913
( ) /	(1,862)	5,507
:	56,232	51,904
	54,370	57,411